

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): DAVIS, Jeremy A. et al.

Confirmation No.:

Application No.:

Examiner:

Filing Date: herewith

Group Art Unit:

Title: Pen Maintenance System and Method for Operating Same

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **EV328274334US**

Date of Deposit July 30, 2003

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By

Typed Name: Douglas D. Hancock

Respectfully submitted,

DAVIS, Jeremy A. et al

By

Douglas D. Hancock

Attorney/Agent for Applicant(s)

Reg. No. 35,889

Date: July 30, 2003

PATENT APPLICATION

Sheet 1 of 2

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	10012851-2		
	APPLICANT		
	DAVIS, Jeremy A., et al.		
	FILING DATE	GROUP	
	herewith		

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	4107699	8/15/1978	Kenworthy	
	1B	5428378	6/27/1995	Kaneko et al.	
	1C	6123409	9/26/2000	Wolf	
	1D	6132026	10/17/2000	Taylor et al.	
	1E	6145958	11/14/2000	Medin et al.	
	1F	6158838	12/12/2000	Capurso	
	1G	6213583	4/10/2001	Therien	
	1H	6224186	5/1/2001	Johnson et al.	
	1I	6234620	5/22/2001	Faisst, Jr. et al.	
	1J	6273547	8/14/2001	Aldrich	
	1K	6281911	8/28/2001	Nakazawa et al.	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	JP59045163	3/13/1984	Kobayashi Takao	English language page 1	
	1M	JP59209876	11/28/1984	Uno Nobuyuki	English language page 1	
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED

PATENT APPLICATION

Sheet 2 of 2

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 10012851-2	APPLICATION NO.	CONFIRMATION NO.
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FILING DATE herewith		GROUP	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A	6340220	1/22/2002	Gaylor et al.	
	2B	5489925	2/6/1996	Brooks et al.	
	2C	6036296	3/14/2000	Axtell et al.	
	2D				
	2E				
	2F				
	2G				
	2H				
	2I				
	2J				
	2K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	2L					
	2M					
	2N					
	2O					
	2P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	
	2R	
	2S	

EXAMINER	DATE CONSIDERED
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